/ PTO/SB/21 (09-04)

| Under the Paperwork Region Act of 1998 | E parsons are required to r | | Approved for use through 07/31/2006. OMB 0651-0031 Trademark Office; U.S. DEPARTMENT OF COMMERCE formation unless it disprays a valid OMB control number. | | | | |
|---|-----------------------------------|---|---|--|--|--|--|
| Older Her Basswar | Application No | | | | | | |
| TRANSMITTAL | Filing Date | May 17, 2 | 2005 | | | | |
| FORM | First Named I | nventor Masanob | u Tanaka | | | | |
| | Art Unit | 2873 | | | | | |
| (to be used for all correspondence after initial | | Jessica i | Stultz | | | | |
| Total Number of Pages in This Submission | Attorney Dock | et Number 075834.0 | 0445 | | | | |
| | ENCLOSURES | (Check all that appl | (v) | | | | |
| Fee Transmittal Form Fee Attached | Drawing(s) Licensing-related | d Papers | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC | | | | |
| Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement | Terminal Disclai Request for Refi | ication ey, Revocation espondence Address mer | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Form PTO/SB/08, and a cited reference. | | | | |
| Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 | any fees due to Deposit Ad | or to credit account No. 5 | | | | | |
| | TURE OF APPLICA | NT, ATTORNEY, | OR AGENT | | | | |
| Firm Name Rockey, Depke Lyons & Signature | Kitzinger, LLC | | | | | | |
| Printed name | | | | | | | |
| Robert J. Depke | -//- | Reg. No. | | | | | |
| Date 0/3/ | 40/ | Neg. 110. | 37,607 | | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | | |
| I hereby certify that this correspondence is being facsimile hansmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Comprissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | | | | | |
| Signature | | / | | | | | |
| Typed or printed name Robert Depke | <u> </u> | | Date $6/30/07$ | | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT AND TRADEMARK OFFICE

Applicants:

Masanobu Tanaka

Atty. Docket No.: 075834.00445

Serial No.:

10/535,224

Group Art Unit:

2873

Filed:

May 17, 2005

Examiner:

Jessica T Stultz

Invention:

ELECTROCHEMICAL DISPLAY AND DRIVE METHOD

JUL 0 5 2007

Customer No.: 33448

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit a legible copy of each reference listed on the accompanying form PTO/SB/08A.

Applicants attest that each reference identified in this Information Disclosure Statement is submitted within three months of the filing date for this application and/or prior to the receipt of a first office action on the merits, and thus no fee is required for consideration thereof.

The undersigned also points out that the submission of each reference is neither a concession, nor an admission, that the reference qualifies as prior art for this application under the patent laws of the United States. Applicants reserve the right to challenge the status of any such reference that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge any required fee to the deposit account of Rockey, Depke, Lyons & Kitzinger, LLC, Deposit Account No. 50-3891.

Respectfully submitted

Robert Lebepke, (Reg. 37,607)

ROCKEY DEPKE, LYONS & KITZÍNGER, LLC.

S. Wacker Drive, Suite 5450

Chicago Illinois 60606

Tel: (312) 277-2006 (312) 441-0570

Attorneys for Applicants

Please type a plus sign (+) inside this box

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE uired to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons

| Substitute for form 1449A/PTO | | | | | Complete if Known | | | | |
|-----------------------------------|--|------------------------|--------------|-----------|----------------------|------------------|--|--|--|
| INFORMATION DISCLOSURE | | | | | Application Number | 10/535,224 | | | |
| | | | | | Filing Date | May 17, 2005 | | | |
| (use as many sheets as necessary) | | | | PLICANT | First Named Inventor | Masanobu Tanaka | | | |
| | | | | | Group Art Unit | 28/3 | | | |
| | | | | ecessary) | Examiner Name | Jessica T Stultz | | | |
| Sheet 1 of 1 | | Attorney Docket Number | 075834.00445 | | | | | | |

| | | | | U.S. PATENT DOCU | JMENTS | |
|-----------------------|--------------------------|----------------------|------------------------|---|--|--|
| Examiner Initials* | Cite No. ¹ | U.S. Paten Number | Kind Code ² | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | US1 | | | | | |
| | US2 | | | | | |
| | US3 | | | | | |
| | US4 | | | | | |
| | US5 | | | | | ****** |
| | US6 | | | | | |
| | US7 | | | | | |
| | US8 | | | | | |
| | US9 | | | | | |
| | US10 | | | | | |
| | US11 | | | | | |
| | ÚS12 | | | | | |
| | US13 | | | | | |
| | US14 | | | | | - · · · · · · · · · · · · · · · · · · · |
| | US15 | | | | | |
| | US16 | | | | 1 | |
| | US17 | - | | | | |
| | US18 | | | | 1 | |
| | US19 | * | | | | |
| | US20 | | | | | ··· · · · · · · · · · · · · · · · · · |

| | | | | FOR | EIGN PATENT DOCUMEN | TS | | |
|-----------------------|--------------|--|-------------------|------------------------|--|--|--|--------|
| Examiner Initials* | Cite No.1 | Office ³ | Foreign Patent Do | Kind Code ⁵ | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant | Τ6 |
| | F1 | JP | 10-133236 | (ii kiiowii) | Sony Corp | 05/22/1998 | Figures Appear | +- |
| | F2 | | | | ***** | \top | | |
| | F3 | | | | | | 14-14-14-14-14-14-14-14-14-14-14-14-14-1 | \top |
| | F4 | | | | | | | |
| | F5 | 1 | | | | | | |
| | F6 | | | **** | | | ₩ | |
| | F7 F8 | - | ···· | | | | | ₩ |
| | F9 | \vdash | | | | | | + |
| | F10 | | | | | | | + |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |
| | | |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



REFERENCE WITH "-cb" ATTACHED TO THE NUMBER HAS BEEN CITED BEFORE, REFERENCE WITHOUT THE SUFFIX HAS BEEN FIRST CITED IN

| so | SONY REF. US SERIAL NO. IDS TIMING | | | | | | | | | |
|----------|--|----------|---------------------|--------------------------|----------|----------|--------------------|--|--|--|
| S0 | 3P1343 | OA (JP) | | | | | | | | |
| co | CORRESPONDING JAPANESE PATENT APPLICATION JP 2002 - 339109 OA issued on: 2007/4/3 [HQ-CASE] | | | | | | | | | |
| | JP | 200 | | [HQ-CASE] | | | | | | |
| | TENT DO | CUME | | / 240 | | , | ENGLISH EQUIVALENT | | | |
| 1 | | | WO - 02/79868 cled | | 02/79868 | ' | US6885489 | | | |
| 2 | JPA | | 1 100 | · | 244617 |) | | | | |
| 3 | JPA | | 2004 - 004267 cited | (JPA 2004 | 004267 |) | | | | |
| 4 | JPA | HEI | 10 - 133236 | (JPA 1998 | 133236 |) | | | | |
| 5 | JPA | | 2001 - 264823 cifed | (JPA 2001 | 264823 |) | | | | |
| 6 | JPA | SHO | 55 - 115085 cifes | (JPA 1980 | 115085 |) | | | | |
| 7 | JPA | SHO | 64 - 031131 cited | (JPA 1 ['] 989 | 031131 |) | | | | |
| 8 | | | | | | | | | | |
| 9 | | | | | • | | | | | |
| 10 | | | | | | | | | | |
| 11 | | | | | | | | | | |
| 12 | | | | | | -] | | | | |
| 13 | | | | | | | | | | |
| 14 | | <u> </u> | | | | | | | | |
| 15 | | | | | | | | | | |
| NO | N-PATE | NT DO | CUMENT(S) | | | | ENGLISH | | | |
| 1 | | | | | | i i | | | | |
| 2 | | - | | | | | | | | |
| 3 | | | | | | | | | | |
| 4 | | | | | | | | | | |
| <u> </u> | | | | | | | | | | |
| 5 | | | | | | | | | | |
| 6 | | | | | | | | | | |

 $[\]not\simeq$ Because English translation of at least one of the cited reference is not readily available, we herewith attach English translation of OA by JPO for use as Concise Explanation of Relevance .